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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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M. Seul *et al.* ) Group Art Unit:

AUG 2 5 2003

**TECH CENTER 1600/2900** 

Examiner:

Serial No. 09/688,573

Filed: 10/17/2000

For: Light-Controlled Electrokinetic

Assembly of Particles Near Surfaces

Commissioner for Patents PO Box 1450 Alexandria VA 22313-1450

Dear Sir:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants submit the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed (as checked below):

\_\_ within three months of the filing date of the above-referenced application

\_\_ within three months of the date of entry of the national stage in a § 371 application; or

X before the mailing of a first Office Action on the merits for the abovereferenced application.

Only copies of non-US patent documents are included. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached PTO 1449 form.

N/A: English translations of the non-English documents are attached.

\_\_\_\_ The following is a concise statement of the relevance of the non-English language documents.

\_\_\_\_ In lieu of a statement of relevance or translation of the non-English language documents, an English language version of a search report from a foreign patent office in a corresponding application citing these documents and setting forth the relevance thereof is enclosed.

This submission does not represent that: (i) Applicant has read or analyzed any of the documents cited herein, except as otherwise provided; (ii) a search has been conducted; (iii) that no other documents exist which may be material to the examination of this application exist; or (iv) that any of the listed documents, or any part thereof, are material or constitute prior art under title 35 of the United States Code. Applicant reserves the right to present evidence and arguments to counter an assertion that any such documents are prior art, and/or to establish the patentability of the claimed invention.

Respectfully Submitted,

BY:

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Applicants) hereby petitions for any extension of time or for any other grounds needed to make this submission timely and proper. The Commissioner is hereby authorized to charge any fees due in connection with this submission and not otherwise covered by payment included herewith, or to credit any overpayment, to Deposit Account No. 502088.

I hereby certify that, on the date indicated below, this correspondence and all attachments indicated herein are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450

Bv:

Date: 8/20/03

PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no present are required

AUG 2 5 2003

AUG 2 5 2003 respond to a collection of information unless it contains a valid OMB control number.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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First Nam d Inv ntor: M. S ul, 1641

Group Art Unit: Examiner Name:

Attorney Docket Number:

LEAPS con 5

Examin er Initials	U.S. Documents	U.S. Patent Document Name of	Date of Publication of
	Number	Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY
	6,083,763	Balch	7/4/2000
	6,172,218 B1	Brenner	1/9/2001
	5,415,835	Brueck, et al.	5/16/1995
	6,429,027 B1	Chee et al.	8/6/2002
	5,532,128	Eggers et al.	7/2/1996
	5,516,635	Ekins et al.	5/14/1996
	5,961,923	Nova et al.	10/5/1999

FOREIGN DOCUMENTS					
	Foreign Patent Documents	Name of	Date of Publication of		
Examiner Initials	Office Number Kind Code (if known)	Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Translation	
	WO 00/04372	Anstyn etal.	1/27/2000		
	WO 99/60170	Baier, et al-	11/25/1494		
	WO 98/40726	Walt, eral	2/8/2000		
	WO 00/03004	Fedteler, et al.	1/20/2000		
	WO 00/75373	Walt et al.	12/14/2000		

		<u> </u>
Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.